



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of
Andrew E. Fano et al.

Application No. 09/520,943

Filed: 3/8/2000

For: SYSTEM, METHOD AND ARTICLE OF
MANUFACTURE FOR MAKING FINANCIAL
DECISIONS BY BALANCING GOALS IN A
FINANCIAL MANAGER

)
) Examiner: Not Assigned
)
) Art Unit: Not Assigned
)
) Atty. Docket No. AND1P525
)
) Date: 7/19/2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on July 19, 2000. -

Signed: _____

Kimberly Main

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Assistant Commissioner for Patents

Box: Missing Parts


Washington, D.C. 20231

Sir:

In response to the Notice of Incomplete reply, dated July 10, 2000 Applicants hereby attach an original executed Declaration and Power of Attorney, an Assignment document, an Assignment Recordation Cover Sheet, and the copy of the Notice to be returned with this response.

The Commissioner is authorized to charge any fees that may be due to our Deposit Account No. 50-0797 (Order No. AND1P525). A copy of this sheet is enclosed for this purpose.

Respectfully submitted,
HICKMAN STEPHENS COLEMAN & HUGHES, LLP


Raymond E. Roberts
Reg. No. 38,597

P.O. Box 52037
Palo Alto, CA 94303-0746
(408) 558-9950

Attorney Docket No. AND1P525

FORMALITIES LETTER



OC000000005227660


 UNITED STATES DEPARTMENT OF
 COMMERCE
 Patent and Trademark Office

 Address: COMMISSIONER OF PATENT AND TRADEMARKS
 Washington, D.C. 20231

 Sector #
 #3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/520,943	03/08/2000	ANDREW E FANO	AND1P525

 23053
 HICKMAN STEPHENS COLEMAN & HUGHES, LLP
 PO BOX 52037
 PALO ALTO, CA 94303


Date Mailed: 07/10/2000

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 06/23/2000 to the Notice to File Missing Parts (Notice) mailed 05/01/2000 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/27/2000 CVDORACHA 00000052 09520943

01 FC:105

130.00 OP